

Records Freezes

22 July 2015

(46)

RMDA has changed some freeze codes to comply with the standards of the National Archives and Records Administration (NARA). NARA's freeze codes only allow for three letters. All codes that have been changed will be in **RED**.

1. Nuclear Test Personnel Review (NTPR)

Proponent - Defense Threat Reduction Agency (NSSN/NTPR & ADM), 7825 John J. Kingman Hwy, Fort Belvoir, VA.

Reference Message – HQDA, DACS-DMC, 15 May 1978

Effective date – 15 May 1978

Freeze Code – NTP

The Army has initiated a comprehensive study of personnel radiation exposures which were accumulated during atmospheric nuclear tests from 1945 through 1962. The study is to determine whether a link exists between exposure to low-level external ionizing radiation and increases in incidence of certain diseases.

2. Iranian Foreign Military Sales (FMS) Program (IRA)

Original Proponent – Defense Security Assistance Agency;

Current Proponent – U.S.A Security Assistance Command (USASAC) –

(Subordinate Command of AMC) - 5701 21st Street, Ft. Belvoir, VA 22060-5940.

Ref Message – Defense Security Assistance Agency, DSAA/OPS-C, 24 May 84

Subject: Freeze of Iran FMS Program Records

Effective date – 24 May 1984

Freeze Code – IRA

The Iran-U.S. Claims Tribunal at The Hague has jurisdiction over certain claims between the U.S. and Iranian Governments arising from pre 1981 contractual arrangement between them. One of the largest claims against the U.S. Government is case B/1, in which Iran seeks \$10 billion, plus interest since 1981, as repayment for alleged wrongful charges to Iran over the life of its Foreign Military Sales (FMS) program. This records freeze has been in effect since the early 1980s for all records relating to the Iranian FMS program. Such records include all FMS supporting and implementing documents, such as: case management files, procurement records (including contracts), transportation records, financial accounting records, etc. Latest correspondence was a DEPSECDEF memo, SUBJECT: Defense of Iranian Claims at the Iran-U.S. Claims Tribunal, Oct 19, 2009, reiterating the need for the records freeze, in effect since the early 80s).

Records Freezes

22 July 2015

(46)

3. Chemical & Biological Warfare (CBW)

Original Proponent - DACS-DMC

**Current Proponent – Commander, USA Soldier and Biological Chemical Command, AMSSB-SCI-H, Bldg E5232, Aberdeen Proving Ground, MD 210105424.
Reference Message – DRCLDC, HQ DARCOM, 14 Feb 1987 Subject: Destruction of Documents Concerning CW Testing Program.**

Effective date – 15 May 1978

Freeze Code – CBW

DA message directed the preservation of all documents pertaining to chemical and biological warfare research to include that research performed for the Department of the Army by universities and other private agencies. The directive includes contracts, correspondence, progress reports, internal memoranda and letters, accounts and minutes of meetings, financial and procurement records, card files, indexes of old and new regulations and protocols issued by DA element, historical material concerning the organization and functions of DA elements involved in chemical or biological warfare research, notes, records of DA personnel and private individuals involved in chemical and biological warfare research, and any other documents related in any way to chemical and biological warfare research.

4. Pakistan Foreign Military Sales (FMS) Program (PAK)

Original Proponent – Defense Security Assistance Agency

Current Proponent – U.S.A Security Assistance Command (USASAC)- (Subordinate Command of AMC) – 5701 21st Street, Fort Belvoir, VA 22060- 5940

Reference Message – Office of the General Counsel, DOD, 23 Sep 1994

Subject: Preservation of Records Relating to Pakistan Foreign Military Sales Program.

Effective date – 23 Sep 1994

Freeze Code – PAK

5. ENRON Corporation

Proponent – DoD General Counsel, 1600 Defense Pentagon, WASH, DC 20301-1600 & U.S. Department of Justice, Office of the Deputy Attorney General

Reference Message – Letter from DOJ, Office of the Deputy Attorney General, 01 Feb 2002 & Memorandum from DoD General Counsel, 05 Feb 2002

Subject: Preservation of Documents and Material Relating to Enron Corporation

Effective date -11 Feb 2002

Freeze Code - ENR

DOJ requests preserving and maintaining for the time period January 1, 1999 to the present, all documents, electronic records and correspondence, computer records and storage devices, notes and memorandum which relate in any way to Enron's financial condition and/or business interests, including contacts with its officers, employees, agents or other representatives and any individual acting officially or unofficially, directly or indirectly on behalf of Enron about these matters. Although federal law imposes broad requirements for preserving documents even apart from the needs of any investigation, in the present circumstances all documents relating to these subjects should be preserved, even if there is a

Records Freezes

22 July 2015

(46)

question whether the particular document would be a federal record or even if its destruction might otherwise be permitted.

6. Guantanamo Bay Detainee Records Preservation Order

Proponent (Jarallah AL-Marri, et. al., v. George Bush, et al.- General Counsel of the Department of Defense, 1600 Defense Pentagon, Washington, D.C. 20301-1600

Reference Message- Order from Judge Gladys Kessler (U.S. District Court for the District of Columbia, 07 Mar 05

Subject: Preservation of Records

Effective Date- 10 Mar 05

Freeze Code - GBD

Preserve and maintain all evidence and information regarding torture, mistreatment, and abuse of detainees at Guantanamo Bay.

7. Hurricane Katrina Relief Records Preservation Order

Proponent - OSD, the White House, Washington D.C.

Reference Message- Memorandum from Francis Fragos Townsend, Assistance for Homeland Security and Counterterrorism

Subject: Comprehensive Review of Federal Government Response to Hurricane Katrina; Retention of Records

Effective Date- 23 Sep 05

Freeze Code - HKR

The White House, Sep 23, 2005 – until further notice and in accordance with applicable laws, your department or agency (1) retains, preserves, and maintains all records relating to Hurricane Katrina, resulting flooding, or their aftermath (records), whether presently existing or created in the future and (2) upon request, provides Records to the Homeland Security Council for review. For purposes of clarity “records” is meant in the broadest sense, and includes all documents, reports, writings, letters, memoranda, notes communications (including emails, faxes, and telephone records, and all communications with other Federal department and agencies, state and local governments and private sector entities), contracts, agreements, schedules, spreadsheets, travel records, data electronically stored information, audio and video recordings, computer disks and hard drives, drawings, graphs, charts, photographs, and all other records of any kind.

8. United States Department of Justice vs. Sun Microsystems, Inc., Hewlett Packard Company and Accenture, LLP

Proponent- DOJ, Civil Div, P.O. Box 261, Washington, DC 20044

Reference Message- Letter from DOJ, Civil Div, P.O. Box 261, WASH, DC 20044 Subject: U.S. ex rel. Rille, et al. v. Sun Microsystems, Inc., Hewlett-Packard Company, and Accenture, LLP

Effective Date- 19 Dec 2007

Freeze Code - SUN

Records Freezes

22 July 2015

(46)

False Claims Act investigation against Sun Microsystems, Inc – the lawsuits and continuing investigation involve allegations that the defendants have systematically solicited and/or made payments of money and others things of value, known as Alliance Benefits, from/to a number of companies with whom they had global alliance Relationships.

9. Lockheed Martin Corporation v. United States America

**Proponent- U.S Army Legal Services Agency, JALS-EL, 901 N. Stuart St.,
Arlington, VA 22203-1837**

**Reference Message- Memorandum from U.S. Army Legal Services Agency, JALS-
EL, 901 N. Stuart St., Arlington, VA. 22203-1837**

**Subject- Preservation of Records for the case of Lockheed Martin Corporation v.
United States America, Civil Action No. 1:08-cv-01160 (JR)**

Effective date: 29 July 2009

Freeze Code - LMC

Lockheed Martin Corporation ("Lockheed") filed a complaint against the United States to recover response costs incurred while remediating the Lockheed Propulsion Laboratory facility known as the Redlands facility ("the Site"). LPC purchased the Site in 1961 from Grand Central Rockets ("GCR"). Lockheed alleges in its complaint that it operated the Site to produce rocket propulsion systems and rocket propellants from 1961 through 1975 for the Army, Air Force and the Navy. LPC built rocket propellants and propulsions for the following Army missile program: LOKI (1954-1958); DART (1954-1959); DART (1955-1960), and the MAULER (1957-1965). Given the dates when the Army missile programs were begun (before 1961), most of our contracts will be with GCR.

10. City of Colton v. American Promotional Event, Inc/Rialto v. United States

**Proponent- U.S. Army Legal Services Agency, JALS-EL, 901 N. Stuart St.
Arlington, VA 22203-1837**

**Reference Message- Memorandum from U.S. Army Legal Services Agency,
JALSEL, 901 N. Stuart St., Arlington, VA. 22203-1837**

**Subject: Preservation of Records for the case of City of Colton v. American
Promotional Events, Inc., Case No. ED CV 09-01864 PSG (SSx), Consolidated with
Case Nos. CV 09-6630 PSG (SSx), CV 09-06632 PSG (SSx), CV 09-07501 PSG
(SSx) and CV 09-07508 PSG (SSx), CV 04-79 PSG (SSx)**

Effective date: 18 May 2010

Freeze Code - RIA

Army litigation regarding alleged perchlorate contamination in the Rialto/Colton Groundwater basin in San Bernardino County, CA. The operations were allegedly at the 160 acre parcel site, known as the B.F. Goodrich NPL site.

Records Freezes

22 July 2015

(46)

11. Tronox, Inc. v. Anadarko Petroleum Corp., Adv. Pro. No. 09-1198-alg
Proponent- U.S. Army Legal Services Agency, JALS-EL, 901 N. Stuart St.
Arlington, VA. 22203-1837

Reference Message- Memorandum from U.S. Army Legal Services Agency,
JALSEL, 901 N. Stuart St., Arlington, VA. 22203-1837

Subject: Preservation of Records for the Case of Tronox, Inc. v. Anadarko
Petroleum Corp., Adv. Pro. No. 09-1198-alg

Effective date: 16 June 2010

Freeze Code - TRO

All records related to the Tronox, Inc v Anadarko Petroleum Corp., Adv. Pro. No. 09-1198-alg and the environmental remediation at the National Fireworks Site in Hanover, Massachusetts from the years 1900 through 1980. The Department of Justice has requested the Army to initiate this moratorium on records destruction as an effort to ensure that documents related to Tronox litigation and the National Fireworks Site, Hanover, Massachusetts are preserved. USALSA has confirmed that this moratorium on destruction applies to records from years 1900 thru 1980

12. United States v. Kellogg, Brown & Root Services, Inc.

Proponent- USALSA, 901 N. Stuart St., Arlington, VA 22203-1837 Reference:
SUBJECT: Preservation of Documents or Information Related to United States v.
Kellogg, Brown & Root Services, Inc., Civ.A.No.1:1 0-cv-00530 (D.D.C.), Updated
Request for Preservation of Documents - Litigation Hold Order

Effective date: 13 Feb 2009

Freeze code - KBR

The United States of America has filed suit against KBR, for relief under the False Claims Act (FCA), 31 U.S.C § 3729, and common law theories of breach of contract and unjust enrichment, among others, for KBR's knowing submission of false claims to the United States Army, Department of Defense (DoD), for the cost of unauthorized private armed security in Iraq, in violation of KBR's contract with the Army.

13. Fehrenbach v. Department of the Air Force

Proponent: - OSD, 1950 Defense Pentagon, Washington, DC. 20301-1950

Reference Message: Memorandum, OSD, 1950 Defense Pentagon, Washington,
DC 20301-1950

Subject: Litigation Hold for OSD Records Managers

Effective date: 5 October 2010

Freeze code - FHB

Preservation order concerning a Department of Defense (DoD) wide litigation hold pertaining to the (DoD) homosexual conduct policy under 10 USC§ 654 (Don't Ask Don't Tell") (DADT) policy.

Records Freezes

22 July 2015

(46)

14. Deepwater Horizon

Proponent- OGC, 104 Army Pentagon, Washington, DC 20310- 0104 Reference

Message- Memorandum, OGC, SAGC-IECW

Subject: Deepwater Horizon Litigation Hold

Effective date: 7 June 2010

Freeze Code - DWH

Investigations of the explosion and fire that occurred at the Deepwater Horizon facility located about 50 miles from the Louisiana shore on or about April 20, 2010, the discharges of oil resulting from the explosion and fire, and any resulting damages, costs, or effects.

One of many potential outcomes of the responses and investigations could be one or more lawsuits or enforcement actions. Accordingly, until you are notified otherwise, do not destroy potentially relevant information pertaining to the fires and explosion that occurred at the Deepwater Horizon facility, the subsequent discharges of oil, and any damages, costs, or effects.

15. Kilgore Flares Company LLC and ESM Group

Proponent- DOJ, Civil Division, Commercial Litigation Branch

Reference Message- Memorandum, DOJ

Subject: Investigation of Kilgore Flares Company LLC and ESM Group

Concerning Contracts for Infrared Countermeasure Flares

Effective date: 5 May 2011

Freeze Code - KFC

All records related to the investigation of Kilgore Flares Company LLC and ESM Group Concerning Contracts for Infrared Countermeasure Flares. In accordance with the Federal Rules of Civil Procedure, the Department of Justice has asked the Department of Army to direct an Armywide preservation pertaining to such documents.

16. Lockheed Martin Corporation v. United States America, et no 06-01438-RJL, (D.D.C.)

Proponent- USALSA, 901 North Stuart Street Arlington, VA 22203-1837

Reference Message- Letter from USALSA, 901 North Stuart Street, Arlington, VA 22203-1837, August 17, 2010

Subject: Preservation of Records for the case of Lockheed Martin Corporation et al. v. United States et al., No. 06-0143JRJL (D.D.C)

Effective date: August 17, 2010

Freeze Code - LKM

Alleges that the Army and other military services had contracts with a company called Sperry Gyroscope during and after World War II through the late 1990's, with work performed at Sperry's plant in Great Neck, New York. The Army had both Ordnance and Signal Corps contracts with Sperry Gyroscope, including one contract with the Engineer Corps (Tab D) during World War II.

Records Freezes

22 July 2015

(46)

17. Chubb Custom Insurance Company

Proponent- USALSA, (JALS-EL), 901 N. Stuart St, Arlington, VA 22203-1837

Reference Message- Memorandum, USALSA

Subject: Preservation of Records for the Case of Chubb Custom Ins Co v U.S.
Case # CV-11-5594GW (MRWx)

Effective date: 21 Sep 11

Freeze Code - CCI

The above-referenced case is a civil action for cost recovery, contribution, and declaratory judgment under sections 107(a), 113(f), 113(g)(2) of the Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), as amended, 42 U.S.C §§ 9601-9675.

18. U.S. Army Medical Research Institute of Infectious Diseases

Proponent- U.S. Army Medical, Research and Materiel Command and Fort Detrick

Reference Message- Memorandum, USALSA

Subject: Protection of Information Due to On-Going Criminal Investigations and Civil Litigation Relating to the Bacillus Anthrax Attacks in 2001

Effective date: 1 Oct 2003

Freeze Code - ANX

Involves ongoing civil litigation arising from the 2001 *bacillus anthracis* mail attacks that resulted in the deaths of several individuals. On 29 July 2008, Dr. Bruce E. Ivins, died in an apparent suicide. Dr. Ivins was a researcher at USAMRIID. The prior investigative focus on USAMRIID, and the on-going tort litigation against the Army, warrant the continued protection of records that may be needed in the course of the litigation and criminal investigation. Prior record retention orders in September 2011, September 2009, August 2009, April 2009, August 2008, May 2004, and October 2003 were previously sent to direct the protection of pertinent information associated with this case.

19. VIET NAM Related Records

Proponent-

Reference Message- Subject:

Effective date:

Freeze Code - NAM

REFERENCE MESSAGE, HQ, TAPC-PED-P, 131630Z NOV 92, SUBJECT: SENATE SELECT COMMITTEE REQUEST FOR INFORMATION.

The Defense POW/MIA office (DPMO) requested Army conduct a final review of its records for any documents related to missing soldiers from the Vietnam war that have not yet been provided. This review should include Vietnam war era POW/MIA intelligence files; operational files; back channel message traffic; policy files; reports investigating irregularities or complaints of POW/MIA matters; lists or rosters of POW/MIA's showing individual official status; files related to special military operations to pinpoint or confirm the presence of live American POW's in southeast Asia or to perform rescue operations; and any files related to POW's alleged or confirmed to be alive.

Records Freezes

22 July 2015

(46)

20. Frontier Chemical Royal Avenue Site

Proponent – U.S. Army Environmental Law Division, 9275 Gunston Rd, FT Belvoir, VA 22060-5546

Reference Message- Memorandum, USALSA

Effective date – 17 May 2012

Freeze Code – FCS

This Record Freeze applies to those Army commands, installations and offices that are likely to have potentially responsive information regarding the Frontier Chemical Royal Avenue Site, Niagara Falls, New York (the "Site"). I have listed below those Army installations that are likely to have potentially responsive information.

DISTRIBUTION:

Fort AP Hill (Army and DLA DS) Fort Bliss (Army and DLA DS) Fort Bragg (DLA DS)

Fort Belvoir (DLA DS) Fort Dix Army, Fort Drum (Army and DLA DS), Fort Devens (DLA DS), Fort Eustis (DLA DS), Iowa Army Ammunition Plant (DLA DS), Letterkenny Army Depot (DLA DS) Rock Island Arsenal

(DLA DS) Seneca Army Depot (Army), Tobyhanna Army Depot (Army and DLA DS) Watervliet Arsenal (Army and DLA DS), West Point Military Academy (Army), Army National Guard Facilities Niagara Falls (Army) Lockport Armory (Army) NYS Armory Batavia (Army) AASF #4 Rochester (Army), Vermont Army Guard Camp Johnson Vermont (Army) Camp Edwards (DLA DS) Army at Westfield Massachusetts (DLA DS), Pittsfield National Guard Armory (DLA DS AASF #2 MAARNG Building, 7400 Westover AFB Massachusetts (DLA DS) Phelps Collins ANG Alpena Michigan (DLA DS), Selfridge ANG Michigan (DLA DS) USPFO Lansing Michigan (DLA DS), Camp Grayling ANG Michigan (DLA DS), US Army Reserve Center Grenier Field (DLA DS)

21. Ford Motor Co. v. United States, Case No 04-72018 (E.D. Mich.) and Ford Motor Co. v. Michigan Consolidated Gas Company, Case No. 2:08cv13503

Proponent – U.S. Army Environmental Law Division, 9275 Gunston Rd, Fort Belvoir, VA 22060-5546

Reference Message- Memorandum, USALSA

Effective date – 24 January 2012

Freeze Code – FOR

The Army is a party in litigation related to liability for the release of volatile organic compounds, semi-volatile organic compounds and polychlorinated biphenyls at the Schaefer Road Area Facility in Dearborn, Michigan. MichCon alleges that historic contamination from the Ford Motor Company's Rouge river facility in Dearborn, MI migrated into the Schaefer Road Area. Therefore, the Army must now issue a LITIGATION HOLD and DOCUMENT PRODUCTION in order to capture those records regarding the Ford Rouge River facility.

DISTRIBUTION: Request the Records Administrators of the U.S. Army Materiel Command and the U.S. Army Installation Management Command forward and request the U.S. Army TACOM Lifecycle Management Command, Ft Knox Contracting Command, Mission and Installation Contracting Command, Ft Eustis Contracting Command, Joint Munitions Command and the Army Environmental Command

Records Freezes

22 July 2015

(46)

22. PPG Industries, Inc v. United States. Case No. CV-03526-WJM-MF

Proponent – U.S. Army Environmental Law Division, 9275 Gunston Rd., Ft. Belvoir, VA 22060-5546

Reference Message – Memorandum, USALSA

Effective date – 18 July 2012

Freeze Code – PPG

The Department of Justice has requested the Army to take the steps necessary to preserve documents relevant to this case. PPG Industries, Inc. ("PPG") seeks to recover response costs incurred for remediation of the Garfield Site, located at 880-900 Garfield Avenue, Jersey City, New Jersey (also referred to as the "Hudson County Chromate Site 114" or the "Garfield Avenue Site" (the "Site")). The Site was formerly owned and operated by Natural Products Refinery Corporation ("NPR"). Allegedly, from 1915 through the end of World War I ("WWI") and leading up to, during, and after World War II ("WWII") the Army and other services contracted with NPR to produce chromium chemicals. The alleged timeframe at issue is between 1915 and 1954. NPR received raw Chromite Ore that was then milled into useable chromium chemicals. The Site is alleged to be the source of chromium contamination in the groundwater. DISTRIBUTION: AMC, National Archives and Records Administration, National Personnel Records Center, Office of the General Counsel, Defense Contracting Management Command

23. Gila River Water Rights Adjudication

Proponent – U.S. Army Environmental Law Division, 9275 Gunston Rd, Fort Belvoir, VA 22060-5546

Reference Message – Memorandum, USALSA, subject: Continuing Litigation Hold – Gila River Water Rights Adjudication, Fort Huachuca Contested Case No. W1-11-605, 16 Aug 12

Effective date – 16 August 2012

Freeze Code – GLA

A comprehensive Arizona water rights adjudication of the Gila River system has been ongoing in the Superior Court of the State of Arizona, Maricopa County, since November 1981. The Army asserts a water rights claim in this adjudication. The Army first asserted a water rights claim for the operation of the Fort Huachuca military reservation on 4 January 1982, and then amended the claim on 5 August 1991. On 7 September 2011, the Court approved a Special Master's Report, finding among other things that the Army should have implied federal reserved water rights for the purpose of the Fort Huachuca military reservation, which had been established by President Chester Arthur's Executive Orders of 29 October 1881 and 14 May 1883. The matter has now been returned to the Special Master for the Second Phase of the case, the "quantification phase". On 19 December 2011, the Special Master issued an order setting out the issues for the quantification phase. They are as follows: (1) the scope of water uses encompassed in the term "military purposes"; (2) the quantity of water reserved to fulfill the military purposes; and, (3) whether sources of water other than groundwater are adequate to accomplish the military purposes, and if not, how much groundwater is required.

DISTRIBUTION: Fort Huachuca; U.S. Army Installation Management Command; Office of the Assistant Chief of Staff for Installation Management; HQDA DCS G-3/5/7; and, the U.S. Army Corps of Engineers.

Records Freezes

22 July 2015

(46)

24. Uusi LLC and Oldnar Corp v the US (United States Court of Federal Claims): Case 12-216C

Proponent – U.S. Army Legal Services Agency (Patent and Copyright), 9275 Gunston Rd, Fort Belvoir, VA 22060-5546

Reference Message – Memorandum, USALSA, subject: Litigation Hold Request in the Matter of Uusi LLC and Oldnar Corp v the US (United States Court of Federal Claims); Case 12-216C, 17 September 2012

Effective date – 17 September 2012

Freeze Code – UAO

This litigation hold is a patent infringement law suit involving several patents issued to Nartron Corporation and owned now by Uusi LLC. The patents at issue are: US 5,287,831; US 5,327,870; US 5,729,456; US 6,009,369 and US 6,148,258. The patents generally cover vehicle starting systems or components thereof which are used for diesel engines. The complaint of the lawsuit alleges that the Electrical Engine Start System (EESS) and the Safe Start System (SSS) or components of these systems were purchased by the Army and used in High Mobility Multipurpose Wheeled Vehicles (HMMWVs). The complaint alleges that the EESS and SSS or components thereof infringe upon one or more of the patents in the suit.

This freeze was sent by USALSA - Patent Division to: U.S. Army Materiel Command (AMC) Legal Office via AMC Chief IP Counsel and the U.S. Army Tank and Automotive Command (TACOM) Legal Office. RMDA forwarded this lit hold to the Records Administrator for dissemination on 2 Nov 12.

25. Herbicide/Agent Orange Testing at Fort Detrick

Proponent - U.S. Army Litigation Division, 9275 Gunston Rd, Fort Belvoir, VA 22060- 5546

Reference Message – Memorandum, USALSA, subject: Preservation of Records for Herbicide Testing at Fort Detrick, 20 November 2012

Effective date – 17 September 2012

Freeze Code – KRF

This litigation hold applies to those Army commands, installations and offices that are likely to have potentially responsive information regard the Agent Orange testing on Fort Detrick, MD. Below are Army installations that are likely to have potentially responsive information:

DISTRIBUTION: Fort Detrick, IMCOM, USAEC, AMC, Joint Program Executive Office for Chemical and Biological Defense, US Army Chemical, Biological, Radiological and Nuclear (CBRN) School, Duway Proving Ground, USACE (Baltimore and St Lous District).

26. Chartis Specialty Insurance Company v. United States, Case No. CV-12-10579 R (JCx)

Proponent - U.S. Army Environmental Law Division, 9275 Gunston Rd, Fort Belvoir, VA 22060-5546

Reference Message – Memorandum, USALSA, subject: Preservation of Records for the case of Chartis Specialty Insurance Company v. United States, Case No.

Records Freezes

22 July 2015

(46)

CV-12- 10579 R (JCx), 17 January 2013

Effective date – 17 January 2013

Freeze Code – CSI

Chartis Specialty Insurance Company ("Chartis") seeks to recover response costs incurred remediating contamination attributed to the Horex facility, located at 2751 San Juan Road, Hollister, California, and the neighboring Mc-Cormick-Selph facility, located at 3601 Union Road, Hollister, California (collectively, the "Site"). The Site was formerly owned and operated by Horex Company, Inc. ("Horex"), a military contractor, from 1957 to 1980, and Whittaker Corporation ("Whittaker") purchased the Site and ordnance manufacturing business in 1980 and owned the Site until 1994. From 1957 to 1994 the Army and other services contracted with Horex and Whittaker for the production of various munitions at the Site. Within this period, from 1980 to 1987, Whittaker may have transferred contracts from its Bermite facility in Santa Clarita, California, to the Site. From 1994 until the present, Quantic Industries, which later merged with, or otherwise became known as, McCormick-Selph Corporation, Pacific Scientific Energetic Materials Company, and/or Danaher Company (collectively, the "Pacific Scientific companies") may have produced items at the Site for the Army. DOD is allegedly liable for costs incurred remediating perchlorate, hexavalent chromium and trichloroethylene (TCE) at the Site as a result of its contractual relationship to the Site.

27. Indian Related Accountable Officers Files

Proponent- Reference

Message-

Subject:

Effective date:

Freeze Code: IND

28. Whittaker Corporation ("Whittaker") v. United States, United States District Court for the Central District of California, Civ. Case No. 13-01741 FMO (JCX) and Chubb Custom Insurance Company ("Chubb") v. United States, Case No. CV-11-5594 OW (MRWX)

Proponent - USALSA, JALS-EL, 9275 Gunston Road, Ft. Belvoir, VA 22060

Reference Message – Memorandum, USALSA, subject: Preservation of Records for the cases of Whittaker Corporation ("Whittaker") v. United States, United States District Court for the Central District of California, Civ. Case No. 13-01741 FMO (JCX) and Chubb Custom Insurance 35Company ("Chubb") v. United States, Case No. CV-11- 5594 OW (MRWX)

Effective date – 8 May 2013

Freeze Code – WTK

Whittaker Corporation's insurer, Chubb, sued the United States, as Whittaker's subrogee, on 7 July 2011. In *Chubb v. United States*, the Army issued a litigation hold on 22 September 2011. To meet the litigation hold requirements for the Whittaker case, you must simply maintain the moratorium on records destruction for all records related to the case of *Chubb v. United States*. Please refer to the litigation hold guidance of the Chubb case at enclosure 2. If you have received any new documents since your last search, please review those documents for relevant material. Both cases concern alleged hazardous waste contamination at the Whittaker-Hermite Site in Santa Clarita, California.

DISTRIBUTION: Armywide.

Records Freezes

22 July 2015

(46)

29. U.S. v. Boston & Maine Railroad

Proponent - U.S. Army Legal Services Agency, JALS-EL, 9275 Gunston Road, Ft. Belvoir, VA 22060

Reference Message – Memorandum, USALSA, subject: Preservation of Records in the Case of U.S. v. Boston & Maine Railroad

Effective date – 5 June 2013

Freeze Code – RRD

This freeze imposes an immediate moratorium on the destruction of all records related to the case of U.S. v. Boston & Maine Railroad. This case alleges a release of hazardous substances occurred from the Railroad's operations between 1900 and 1940 on property now included within Fort Devens.

DISTRIBUTION: Commands that are likely to have generated relevant documents, but not limited to: Ft Devens, US Army Reserve Command, Army Environmental Command, Base Alignment and Closure Office, Defense Logistics Agency and ACSIM.

30. AAR Manufacturing, Inc. and Weatherhaven Resources, LTD. v. the U.S

Proponent - USALSA, JALS-RL/IP, 9275 Gunston Road, Ft. Belvoir, VA 22060

Reference Message – Memorandum, USALSA, subject: Litigation Hold Request

AAR Manufacturing, Inc. and Weatherhaven Resources, Ltd. v. the United States (United States Court of Federal Claims); Case 13-575 C.

Effective date – 1 November 2013

Freeze Code – AAR

The Complaint alleges that the U.S. Government infringes U.S. Patent Number US 5,761,854 (the '854 patent), issued to Brian D. Johnson and Glen V. Thorne, allegedly owned by Weatherhaven Resources, Ltd. and allegedly licensed exclusively to AAR Manufacturing, Inc. The patent relates to a "Collapsible Portable Containerized Shelter".

DISTRIBUTION: AMC and Natick Soldier Systems Center.

31. Preservation of Records in the Case of Information Related to Mary Jennings Hagar, et al. v. Leon Panetta, No. 3: 12-cv-6005 (N.D. Cal.)

Proponent - USALSA, JALS-LT, 9275 Gunston Road, Ft. Belvoir, VA 22060

Reference Message – Memorandum, USALSA, subject: Preservation of Records Related to VFA, Inc. v. United States, No. 1:14-cv-173

Effective date – 20 March 2014

Freeze Code – MJH

This lawsuit challenges the constitutionality of the prior military policy that excluded women from assignment to certain ground combat units and positions. On 24 January 2013, the Secretary of Defense and Chairman of the Joint Chiefs of Staff directed the policy be rescinded. The Plaintiff's current complaint challenges the military's implementation of the Secretary and Chairman's rescission. This moratorium includes all relevant records, documents and information, regardless of medium, which may include but is not limited to paper copies, electronically stored information found on computer systems, and removable or portable electronic storage media. Additional information regarding types of

Records Freezes

22 July 2015

(46)

records to be preserved in this preservation order can be found in paragraph 5 of the U.S. Army Legal Services Agency's memorandum (Encl). All records responsive to this moratorium will be held at their current location until you are notified to resume a regular disposition schedule or are notified to produce such records.

DISTRIBUTION: Armywide.

32. VFA, Inc. v. United States, No. 1:14-cv-173

Proponent – DOD General Counsel, 1600 Defense Pentagon, Washington, DC 20301

Reference Message – Memorandum, DOD General Counsel, subject: Litigation Hold Order for Information Related to Mary Jennings Hegar, et al. v. Leon Panetta, No. 3: 12- cv-6005 (N.D. Cal.)

Effective date – 26 February 2014

Freeze Code – VFA

This memorandum imposes an immediate moratorium on the destruction of all records related to the case of VFA, Inc. v. United States. Freeze Code VFA. The referenced suit is postured as a bid protest. VFA claims that in establishing the standardization policy, DoD violated procurement laws because it failed to conduct full and open competition for the acquisition of facility assessment solutions.

Request notification of any responsive records be submitted to Kwenji Jackson, 703-571-0794/kwenji.s.jackson2.civ@mail.mil (DOD General Counsel) and Eve Roberts, 703-428-6435/eve.g.roberts.civ@mail.mil (Records Management and Declassification Agency).

DISTRIBUTION: Armywide.

33. Command and Control Facility (64k Building) at Camp Leatherneck, Afghanistan

Proponent – OGC, 104 Army Pentagon, Washington, DC 20310-0104 Reference Message – Memorandum, Office of the General Counsel, subject:

Requirement to Identify, Retain, Catalogue and Preserve Records Related to the Command and Control Facility (64k Building) at Camp Leatherneck, Afghanistan

Effective date – 10 April 2014

Freeze Code – LNK

Records or information that may be related to a pending review by the Special Inspector General for Afghanistan Reconstruction. This freeze imposes an immediate moratorium on the destruction of any record related to that review, specifically: (a) any record related to the planning and construction of the 64k building at Camp Leatherneck, Afghanistan; and (b) any record concerning either of two Army Regulation 15-6 investigations regarding the 64k building. Freeze Code is LNK.

DISTRIBUTION:

Assistant Secretary of the Army (Civil Works)

Assistant Secretary of the Army (Installations, Energy and Environment) Assistant Secretary of the Army (Financial Management and Comptroller) Office of the Army General Counsel

Administrative Assistant to the Secretary of the Army Assistant Chief of Staff for Installation Management Office of the Judge Advocate General

Commander, U.S. Army Forces Command Commander, U.S. Army Central Command Commander, U.S. Army Corps of Engineers Commander, Installation Management Command

Records Freezes

22 July 2015

(46)

34. United States v. L-3 Communications Corporation, Communication Systems West-Utah

Proponent – USALSA (JALS-KFLD-PF)

Reference Message – Memorandum, United States Legal Services Agency (JALS-KFLDPF), subject: United States v. L-3 Communications Corporation, Communication Systems West-Utah (Dist. Of Utah) (Request for Preservation of Documents – Litigation Hold Order) Freeze Code – LLL

Effective date – 28 April 2014

Freeze Code – LLL

The Department of Justice anticipates filing a lawsuit in May 2014, against L-3 Communications Corporation Communication Systems West-Utah (“L-3”) in the United States District Court for the District of Utah under the False Claims Act, 31 U.S.C. §§ 3729, et seq. The lawsuit is based upon the Government’s assertion that L-3 knowingly submitted false claims associated with contract estimating system failures involving proratables, offloading, and brown bordering, in violation the FCA. With regard to proratables, L3 double proposed solvents, adhesives, lubricants, gasketing materials, and insulation as direct costs and overhead costs and subsequently presented or caused to be presented inflated claims for payment by the United States. Concerning offloading, L3 did not provide any cost or pricing data from its offloading department’s activities, which exported direct manufacturing labor to its vendors, but rather knowingly disclosed fictitious in-house data and subsequently presented or caused to be presented inflated claims for payment. Lastly, with regard to L3’s brown border builds of equipment, no direct manufacturing labor cost was disclosed by L3 from this program in connection with proposals for a ROVER 5 delivery order; instead, L3 disclosed higher manufacturing labor costs from Low Initial Rate of Production (LRIP) builds and builds of other products. DISTRIBUTION: AMC

35. Larry Holmberg v. United States (United States Court of Federal Claims); Case 14-284C

Proponent – United States Legal Services Agency (JALS-RL/IP)

Reference Message – Memorandum, United States Legal Services Agency (JALS-RL/IP), subject: Litigation Hold Request Larry Holmberg v. United States (United States Court of Federal Claims); Case 14-284C

Effective date – 14 May 2014

Freeze Code – HOL

This litigation hold is issued as a result of a complaint, alleging the U.S. Government infringes US Patent Numbers US6,988,331 (the ‘331 patent) and US 7,100,321 (the ‘321 patent). The patents relate to a Range Finder.

DISTRIBUTION: The U.S. Army Materiel Command and the U.S. Army Communications-Electronics Command have been identified as organizations likely to possess, or otherwise have access to, electronically stored information, paper, or other records containing information that may be related to the above referenced litigation.

Records Freezes

22 July 2015

(46)

36. U.S. ex rel. Dani Shemesh v. CA, Inc., No. 09-CV-01600-ESH (D.D.C.)

Proponent – United States Legal Services Agency (JALS-KFLD-PF)

Reference Message – Memorandum, United States Legal Services Agency (JALS-KFLD-PF), subject: Preservation of Records for U.S. ex rel. Dani Shemesh v. CA, Inc., No. 09-CV-01600-ESH (D.D.C.)

Effective date – 18 July 2014

Freeze Code – DSH

This moratorium on records destruction is issued for all records related to purchases of Term Software Licenses, Perpetual Software Licenses, and Maintenance of Software from CA, Inc., CA Technologies, and/or Computer Associates, Inc. (collectively, "CA, Inc.") between 2002 through present under the General Services Administration's (GSA) Multiple Award Schedule (MAS) Contract GS-35F-0823M. The Relator in U.S. ex rel. Dani Shemesh v. CA, Inc. alleges that CA, Inc. made false statements and/or material omissions in negotiations with GSA, and thereafter fraudulently induced GSA to extend the MAS Contract by failing to provide current, accurate, and complete pricing information to GSA.

USALSA listed: Army Contracting Command (ACC), Army Contracting Agency (ACA) Devens Satellite, ACA Minneapolis, ACC Aberdeen Proving Grounds – All, ACC Picatinny Arsenal

ACC Redstone Arsenal, Communications-Electronics Command (CECOM) Fort Monmouth

Red River Army Depot, U.S. Army Tank-Automotive Command, Army Materiel Command, Ammunition Demand Automated Process Support Office (Joint Munitions Command), Program Executive Office-Enterprise Information Systems (PEO-EIS), U.S. Army Joint Munitions Command, Mission and Installation Contracting Command, Carlisle Barracks, Fort Buchanan, Fort Belvoir, Fort Benning, Fort Bliss, Fort Bragg, Fort Dix, Fort Eustis, Fort Hood, Fort Jackson, Fort Knox, Fort Leavenworth, Fort Lee, Fort Leonard Wood, Fort Riley, Fort Sam Houston, West Point, National Guard Bureau, U.S. Army National Guard Readiness Center, US P&FO Montana Army National Guard, US P&FO Ohio Army National Guard, U.S. Army Corps of Engineers, Rock Island, St. Louis, DISTRIBUTION: Armywide.

37. Preservation of Records for the Safety Light Superfund Site, Bloomsburg, Pennsylvania

Proponent – United States Legal Services Agency (JALS-EL)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-EL, 20 November 2014, subject: Preservation of Records for the Safety Light Superfund Site, Bloomsburg, Pennsylvania

Effective date – 20 NOV 2014

Freeze Code – SLS

This memorandum imposes an immediate moratorium on the destruction of records related to the Safety Light Superfund Site that operated (approximately) between 1948-2007. The Site operators used radioactive materials to manufacture commercial quantities of luminous devices for military and commercial purposes. Past disposal practices resulted in radiological contamination of soils and groundwater at the Site.

DISTRIBUTION: Office of the Surgeon General, AMC, COE, Test and Evaluation Command and IMCOM.

Records Freezes

22 July 2015

(46)

38. Preservation of Records for Kelly v. FBI, DoD, et al, Civ. No. 13-825 (D.D.C.)

Proponent – United States Legal Services Agency (JALS-LT)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-LT, 24 November 2014, subject: Litigation Hold for Records Related to Kelly v FBI, DoD, et al, Civ. No. 13-825 (D.D.C.)

Effective date – 24 NOV 2014

Freeze Code – KLY

This litigation hold involves allegations that government officials and/or employees impermissibly provided information about Ms. Kelley and/or her husband to the media in 2012 regarding her possible connections to the situation involving Paula Broadwell, General Patraeus and General Allen. In September 2014, the court dismissed counts 2-14 of the amended complaint, but allowed count 1, alleging unlawful Privacy Act disclosure to the news media.

DISTRIBUTION: ARCENT & OCPA

39. Preservation of Records for In re Doe Run Resources Corporation

Proponent – United States Legal Services Agency (JALS-LT)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-EL, 3 February 2015, subject: Preservation of Records Relating to In re Doe Run Resources Corporation – Freeze Code: DOE

Effective date – 3 Feb 2015

Freeze Code – DOE

This freeze relates to lead mines in Southeast Missouri that the War Department may have owned or operated during the first half of the 19th century. During discovery, the plaintiff may seek, and Anny is required to search and preserve records related to the mining and disposal of lead during years 1803-1847 on lands owned by the United States in Southeast Missouri, including but not limited to lands within the counties of St. Francois, St. Genevieve, Jefferson, and Washington; and the liability of the Anny for clean-up costs as an owner or operator under CERCLA, 42 U.S.C. § 9607(a) with respect to lead disposal in that region.

DISTRIBUTION: AMC, Acquisition Support Center, Joint Munitions Command, Research, Development & Engineering Command

40. Preservation Production and Preservation Notice for Tennessee Clean Water Network v. U.S. Department a/Defense, et al., No.2: 14-cv-336 (E.D. Tenn.) –

Proponent - United States Legal Services Agency (JALS-EL)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-EL, 20 February 2015, subject: Production and Preservation Notice for Tennessee Clean Water Network v. U.S. Department a/Defense, et al., No.2: 14-cv-336 (E.D. Tenn.)

Effective date – 20 Feb 2015

Freeze Code –TCW

Records Freezes

22 July 2015

(46)

Re: alleged National Pollutant Discharge Elimination System ("NPDES") permits and alleged Clean Water Act (CWA) violations arising out of the operations at the Holston Army Ammunitions Plants (HSAAP) located in Kingsport, Tennessee. DISTRO: AMC, Redstone Arsenal, Holston AAP.

41. Preservation of Records for the TPL, Inc. v. U.S., Fed. CL No. 15-282 C (Fort Wingate, New Mexico)

Proponent - United States Legal Services Agency (JALS-EL)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-EL, 22 April 2015, subject: Preservation of Records for the TPL, Inc. v. U.S., Fed. CL No. 15-282 C (Fort Wingate, New Mexico)

Effective date – 22 April 2015

Freeze Code –WIN

Freezing all records related to TPL Inc. v. U.S. regarding the facility use contract DAAA09-94-E-0014 (the "contract"), environmental compliance records, remediation and monitoring, any and all records pertaining to parcel 22 and building 51 between 1994 through 2007 at Fort Wingate.

DISTRO: AMC, Army Sustainment Command, Joint Munitions Command, and Fort Wingate.

42. Preservation of Records for MyKey Technology, Inc v. United States, Court of Federal Claims No. 14-232C

Proponent - United States Legal Services Agency (Regulatory Law and Intellectual Property Division)

Reference Message – Reference Letter, U.S. Army Legal Services Agency, Regulatory Law and Intellectual Property Division, 7 May 2015, subject: RE:

LITIGATION HOLD REQUEST for MyKey Technology, Inc v. United States, Court of Federal Claims No. 14-232C

Effective date – 7 May 2015

Freeze Code – MKT

This litigation hold is issued as a result of a complaint alleging the U.S. Government infringes U.S. Patent No. 6,813,682 entitled "Write Protection for Computer Long-Term Memory Devices", (2) U.S. Patent No. 7,159,086 entitled "Systems and Methods For Creating Exact Copies of Computer Long-Term Storage Devices, and (3) U.S. Patent No. 7,228,379 entitled "Systems and Methods For Removing Data Stored On Long-Term Memory Devices" owned by MyKey Technology.

DISTRO: AMC, MEDCOM, COE, U.S. Army Aviation and Missile Command (AMCOM), U.S. Army Tank and Automotive Command, U.S. Army Research and Development and Engineering Command, U.S. Army Research Laboratory and the U.S. Army Aviation and Missile Research and Development and Engineering Center, and U.S. Army Medical Research and Materiel Command .

Records Freezes

22 July 2015

(46)

43. Preservation of Records for CSX Transportation Inc. v. United States Case No. 14-cv-1170 (COFC)

Proponent - United States Legal Services Agency (JALS-LTG)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-LTG, 14 May 2015, subject: Litigation Hold Order in the Matter of CSX Transportation Inc. v. United States, Case No. 14-cv-1170 (COFC) filed 5 January 2015

Effective date – 18 May 2015

Freeze Code – CSX

The Army successfully moved to dismiss CSX's complaint on the basis that the claims were solely governed by the Sidetrack Agreement, and because the claim exceeded \$100,000, the Contract Disputes Act (CDA) applied. The case was then transferred to the Court of Federal Claims. CSX contends that the Army breached the Sidetrack Agreement because the Army was a bailor of the 44 railcars and their contents, while the railcars were in the Army's possession. CSX additionally contends that the CDA is inapplicable because this is a bailment cause of action, and even if the CDA were applicable, it has satisfied the certified claim requirements. CSX seeks damages in the amount of \$267,238.14.

DISTRO: AMC, Military Surface Deployment and Distribution Command, U.S. Army Sustainment Command and U.S. Army Contracting Command.

44. Preservation of Records for Jacob Humble v. United States

Proponent - United States Legal Services Agency (JALS-LT)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-LT, 28 May 2015, subject: Litigation Hold Order in the Matter of Jacob Humble v. United States

Effective date – 11 May 2015

Freeze Code – HUM

This memorandum imposes an immediate moratorium on the destruction of records related to the Jacob Humble v. United States lawsuit. This is an action for judicial review of administrative denial of disability benefits that the United States Army failed to provide to Plaintiff under the terms of the Servicemembers Group Life Insurance Traumatic Injury Protection Program.

DISTRO: Assistant Secretary of the Army (M&RA), Army Review Board Agency (SAMR-RBB) and HRC.

45. Preservation of Records for CHEVRON

Proponent - United States Legal Services Agency (JALS-EL)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-EL, 21 May 2015, subject: Records Freeze for Seven Chevron Sites in Texas, California and Illinois in WWI and WWII eras (1911-1920 & 1939 – 1949)

Effective date – 21 May 2015

Freeze Code – CVN

The Department of Justice has requested the Army initiate a moratorium on records destruction as an effort to ensure documents related to the production, storage, and disposal of gasoline and related products are preserved for potential litigation. This memorandum imposes an immediate moratorium on the destruction of records related to seven Chevron Sites in Texas, California, and Illinois in World War WWI and WWII eras (1911-1920 and 1939-1949). DISTRO: TRADOC, AMC, ATEC, IMCOM, CMH and NARA.

Records Freezes

22 July 2015

(46)

46. Preservation of Records for CLAIBORNE v. MCHUGH

Proponent - United States Legal Services Agency (JALS-LTM)

Reference Message – Reference Memorandum, U.S. Army Legal Services Agency, JALS-LTM, 17 & 20 July 2015, subject: Litigation Hold Order in the Matter of Claiborne v McHugh et. al., Civil Action No. 3:15-cv-011982 (D.Or.)

Effective date – 17 July 2015

Freeze Code – CLB

This is an Administrative Procedure Act action arising under 5 U.S.C. §701 asking the Court stop the Army from further processing SSG Claiborne for general discharge. This is also an action asking the Court to compel the Army pursuant to 28 U.S.C. §1391 (mandamus) to provide his wrongfully withheld 20 year active duty retirement.
